



**NOTICE OF APPEAL FROM THE EXAMINER TO  
THE BOARD OF PATENT APPEALS AND INTERFERENCES**

Docket Number (Optional)

CY-0019

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on MAY 5, 2004

Signature

Typed or printed name Bradley T. Sako

In re Application of Phatak et al.

Application Number  
09/823,839

Filed  
03/30/2001

For MULTI-STEP HIGH DENSITY PLASMA (HDP)...

Art Unit  
2822

Examiner  
Guerrero, M.

Applicant hereby **appeals** to the Board of Patent Appeals and Interferences from the last decision of the examiner.

The fee for this Notice of Appeal is (37 CFR 1.17(b))

\$ 330.00

- ☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee shown above is reduced by half, and the resulting fee is: \$ \_\_\_\_\_
- ☒ A check in the amount of the fee is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☐ The Director has already been authorized to charge fees in this application to a Deposit Account. I have enclosed a duplicate copy of this sheet.
- ☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 50-2087. I have enclosed a duplicate copy of this sheet.
- ☐ A petition for an extension of time under 37 CFR 1.136(a) (PTO/SB/22) is enclosed.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

I am the

- ☐ applicant/inventor.
- ☐ assignee of record of the entire interest.  
See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed.  
(Form PTO/SB/96)
- ☒ attorney or agent of record. 37,923  
Registration number \_\_\_\_\_
- ☐ attorney or agent acting under 37 CFR 1.34(a).  
Registration number if acting under 37 CFR 1.34(a) \_\_\_\_\_

Bradley T. Sako  
Signature

Bradley T. Sako

Typed or printed name

(408) 289-5315

Telephone number

MAY 4, 2004  
Date

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.191. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

AF 2822  
SIFW

Applicant(s): PHATAK et al.

Serial No.: 09/823,839

Filed: March 30, 2001

Group Art Unit: 2822

Examiner: Guerrero, M.

Title: **Multi-Step High Density Plasma  
(HDP) Process to Obtain Uniformly  
Doped Insulating Film**

Attorney Docket No.: CY-0019

**TRANSMITTAL COVER SHEET (37 C.F.R. §1.8(a)(i)(A))**

**First Class Mail**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

The following is included:

- |   |   |
|---|---|
| <input type="checkbox"/> Fee Transmittal Form                     | <input type="checkbox"/> Certified Copy of Priority Documents   |
| <input type="checkbox"/> Fee Attached                             | <input type="checkbox"/> Response to Missing Parts/ Incomplete Applications                                 |
| <input type="checkbox"/> Amendment/Response                       | <input type="checkbox"/> Response to Missing Parts Under 37 C.F.R. §1.52 or §1.53 of Correspondence Address |
| <input type="checkbox"/> After Final Rejection                    |   |
| <input type="checkbox"/> Affidavits/Declaration(s)                |   |
| <input type="checkbox"/> Status Request Letter                    |   |
| <input checked="" type="checkbox"/> Other <u>Notice of Appeal</u> |   |

**Conditional Petition for Extension of Time/Authorization to Charge Fees**

It is believed that the indicated items are timely filed. However, in the event an extension of time is required, please consider this a petition for extension of time under 37 C.F.R. §1.136(a). Please charge any fees that may be required to Deposit Account No. 50-2087.

Respectfully Submitted,

Bradley T. Sako  
Bradley T. Sako, Reg. No. 37,923

MAY 4, 2004  
Date

**37 C.F.R. §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313.

Date of Deposit: MAY 5, 2004

Typed/Printed Name: BRADLEY T. SAKO

Signature: Bradley T. Sako